

MARKET CIRCULAR 30A

To: Participating Institutions
From: NASD OTC Securities Exchange
Date: August 01, 2019
Subject: RE: AFFILIATION WITH THE NASD OTC SECURITIES EXCHANGE –
DISPLAY OF NASD TRADING LICENSE AT BUSINESS PREMISES

In reference to Circular 30 published by NASD PLC on “Affiliation with the NASD OTC Securities Exchange”.

Participating Institutions were enjoined to acknowledge their affiliation by reflecting the phrase “Participating Institution of the NASD OTC Securities Exchange” on their website as well as on letterheads and business documents.

Each Participating Institution is now required by this Circular to display its NASD Trading License at the reception of its business premises.

For further enquiries, please contact us at info@nasdnq.com or 01 460 5008.

NASD PLC

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